

Primitive recursion

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- ▶ The class PR is built up from σ_0 the constant functions and the projections $\pi_j(a_1, \dots, a_i, \dots, a_n) = a_i$ by **substitution** and **primitive recursion**.

Two surprisingly useful functions

We define by primitive recursion sg and \bar{sg} .

- ▶ $sg(0) = 0$ with $sg(n + 1) = 1$.
- ▶ $\bar{sg}(0) = 1$ with $\bar{sg}(n + 1) = 0$.

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- ▶ $\bar{sg}(0) = 1$ with $\bar{sg}(n + 1) = 0$.
- ▶ Exponent notation is used e.g. $11^{sg} = 1$ and $2^{\bar{sg}} = 0$.

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Well known primitive recursive functions. Takes some work!

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3. The residue $\text{res}(a, n)$ of a modulo n .
4. Restricted subtraction $a \dot{-} b$.

Examples

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$$S(n) = \sum_{i=1}^n \text{s\bar{g}}(\text{res}(n, i))$$

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then $\pi(n)$ yields the number of primes among $2, \dots, n$.

Then the n th prime is the least j such that $\pi(j) = n + 1$.

Bounded search

Suppose a function $\tau(a_1, \dots, a_r, n)$ is given which satisfies the following formula.

$$(\forall a_1 \dots \forall a_r \exists n)(\tau(\vec{a}, n) = 0)$$

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$$\sum_{i=0}^B \prod_{j=0}^i \tau(\vec{a}, j)^{\text{sg}}$$

$$\begin{aligned} &= \tau(\vec{a}, 0)^{\text{sg}} + \tau(\vec{a}, 0)^{\text{sg}}\tau(\vec{a}, 1)^{\text{sg}} + \tau(\vec{a}, 0)^{\text{sg}}\tau(\vec{a}, 1)^{\text{sg}}\tau(\vec{a}, 2)^{\text{sg}} + \dots \\ &\quad + \tau(\vec{a}, 0)^{\text{sg}}\tau(\vec{a}, 1)^{\text{sg}} \dots \tau(\vec{a}, B)^{\text{sg}} \end{aligned}$$

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The following notation is fairly standard.

$$\mu_j[\tau(\vec{a}, j) = 0] = \sum_{i=0}^B \prod_{j=0}^i \tau(\vec{a}, j)^{\text{sg}}$$

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- ▶ For example the n th prime p_n satisfies $p_n < 2^{2^n}$.
- ▶ This provides the bound $B = 2^{2^n}$.

The length of $n \in \mathbb{N}$

Consider the problem of finding the index l of the largest prime dividing $n \in \mathbb{N}$ and suppose $n > 1$.

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- ▶ Take the sign of the sum

$$\text{sg}(\text{exp}_1(n) + \text{exp}_2(n) + \dots + \text{exp}_n(n)).$$

- ▶ What we seek is the smallest j such that

$$\text{sg}(\text{exp}_{j+1}(n) + \text{exp}_{j+2}(n) + \dots + \text{exp}_n(n)) = 0.$$

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The following primitive recursive function yields the index of the largest prime divisor of the natural number n .

$$\text{long}(n) = \sum_{k=0}^n \prod_{j=0}^k \text{sg} \left(\sum_{l=j+1}^n \text{exp}_l(n) \right)$$

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- ▶ This yields the smallest j such that $\text{exp}_l(n) = 0$ if $l > j$.

Other forms of recursion

Course-of-values recursion

Definition

Given a binary function β and any $a \in \mathbb{N}$ define φ as follows;

$$\varphi(0) = a \text{ and } \varphi(n+1) = \beta\left(\prod_{i=0}^n p_i^{\varphi(i)}, n\right).$$

We can prove that such a definition yields a primitive recursive function.

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Thus ψ is primitive recursive and $\varphi(n) = \exp_n \psi(n)$ hence φ is also primitive recursive.

Another form of recursion

Simultaneous recursion

Definition

Given $a, b \in \mathbb{N}$ and binary functions β_1 and β_2 define σ_1 and σ_2 ; $\sigma_1(0) = a$, $\sigma_2(0) = b$ with

$$\begin{aligned}\sigma_1(n+1) &= \beta_1(\sigma_1(n), \sigma_2(n)) \\ \sigma_2(n+1) &= \beta_2(\sigma_1(n), \sigma_2(n)).\end{aligned}$$

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Hence ψ is primitive recursive $\Rightarrow \sigma_1$ and σ_2 are primitive recursive.

Nested recursion

Example

Given known functions α , β and γ define $\varphi(0, a) = \alpha(a)$ with

$$\varphi(n + 1, a) = \beta(n, \varphi(n, \gamma(n, a, \varphi(n, a)))).$$

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- ▶ This function is indeed primitive recursive.
- ▶ But in such a definition if you have induction on multiple variables then your function may no longer be PR.

General recursive functions

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- ▶ Hence functions of the λ -calculus, Turing computable functions, and so on are all general recursive functions.
- ▶ Given a defining system of equations you may not be able to tell if you have a working definition.
- ▶ In general the problem is undecidable.

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1. $\sigma_1(0, x_2) = x_2$
2. $\sigma_1(\sigma_0(x_1), x_2) = \sigma_0(\sigma_1(x_1, x_2))$
3. $\sigma_2(0, x_2) = 0$
4. $\sigma_2(\sigma_0(x_1), x_2) = \sigma_1(\sigma_2(x_1, x_2), x_2)$
5. $\sigma_3(x_1) = \sigma_2(x_1, x_1)$

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► For this example $s = 3$, $A = 5$ and σ_3 is the square function.

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2. $\sigma_1(\sigma_0(x_1)) = x_1$
3. $\sigma_2(0, x_2) = x_2$
4. $\sigma_2(\sigma_0(x_1), x_2) = \sigma_0(\sigma_2(x_1, x_2))$
5. $\sigma_3(0) = 1$
6. $\sigma_3(\sigma_0(x_1)) = \sigma_2(\varphi(x_1), \varphi(\sigma_1(x_1)))$

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 6. $\sigma_3(\sigma_0(x_1)) = \sigma_2(\varphi(x_1), \varphi(\sigma_1(x_1)))$
- For this example $s = 3$, $A = 6$. Can you identify the function σ_3 ?

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 4. $\sigma_2(x_1, \sigma_0(x_2)) = \sigma_1(\sigma_2(x_1, x_2))$
- ▶ For this example $s = 2$ and $A = 4$.
 - ▶ $\sigma_2(x_1, x_2) = x_1 \div x_2$.

Computations as deductions

$$\text{Axioms} \left\{ \begin{array}{ll} \sigma_1(0, x_2) = x_2 & (1) \\ \sigma_1(\sigma_0(x_1), x_2) = \sigma_0(\sigma_1(x_1, x_2)) & (2) \end{array} \right.$$

Deductions {

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This illustrates the three admissible steps in any computation;
n, *z* and *sub*.

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- ▶ $sub(i, j, k)$ denotes substitution of RHS of equation i into equation j at the k th position.

Computations as deductions

$$\text{Axioms} \left\{ \begin{array}{ll} \sigma_1(0, x_2) = x_2 & (1) \\ \sigma_1(\sigma_0(x_1), x_2) = \sigma_0(\sigma_1(x_1, x_2)) & (2) \\ \sigma_2(0, x_2) = 0 & (3) \\ \sigma_2(\sigma_0(x_1), x_2) = \sigma_1(\sigma_2(x_1, x_2), x_2) & (4) \end{array} \right.$$

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Key component of the Kleene normal form

The Kleene operator

Given a function $\tau(a_1, \dots, a_r, n)$ in which for every \vec{a} there exists an n such that $\tau(\vec{a}, n) = 0$ we can define a new function $\varphi(\vec{a})$.

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We will use this **Kleene operator** later to search through computations.

The Kleene operator as a general recursive function

Suppose a function $\tau(a_1, \dots, a_r, n)$ is already given. We define a new function σ .

- ▶ $\sigma(j, a_1, \dots, a_r, 0) = j$
- ▶ $\sigma(j, a_1, \dots, a_r, n + 1) = \sigma(j + 1, a_1, \dots, a_r, \tau(a_1, \dots, a_r, j + 1))$

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Then $\mu_j[\tau(a_1, \dots, a_r, j) = 0] = \sigma(0, a_1, \dots, a_r, \tau(a_1, \dots, a_r, 0))$.

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$$\varphi = \psi(\mu[\tau])$$

where μ is the Kleene operator and ψ and τ are primitive recursive.

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- ▶ This is the normal form we wish to prove exists.
- ▶ This proves the Kleene operator cannot be primitive recursive.
- ▶ This also proves that if we augment the class PR with the Kleene operator this yields the class of all computable functions.

Arithmetization of computations

We begin with the basic Godel numbering of symbols.

Individual symbol	Godel number
σ_n	$2n + 2, n = 0, 1, \dots$
0	1
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By Godel numbering the symbols used in computations we can employ standard arithmetic to sort through sets of computations. Furthermore we can hone in on those computations which are actually correct.

More on Godel numbers

Some examples of symbol sequences and their Godel numbers.

Example

1. $\sigma_0(0)$ has Godel number $p_1^2 p_2^5 p_3^1 p_4^7$

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3. $\sigma_2(x_1) = x_1$ has Gödel number $p_1^4 p_2^5 p_3^{11} p_4^7 p_5^3 p_6^{11}$
4. $\sigma_0(x_i)$ has Gödel number $p_1^2 p_2^5 p_3^{2i+9} p_4^7$

Godel numbering a computation

This is the first example computation.

$$\text{Axioms} \left\{ \begin{array}{l} q_1 := p_1^4 p_2^5 p_3^1 p_4^9 p_5^{13} p_6^7 p_7^3 p_8^{13} \\ \dots \end{array} \right.$$

$$\text{Deductions} \left\{ \begin{array}{l} q_3 := p_1^4 p_2^5 \dots p_{13}^{13} p_{14}^7 \\ \vdots \\ q_7 := p_1^4 p_2^5 \dots p_{19}^7 p_{20}^7 \end{array} \right.$$

The entire computation is encoded in the Godel number

$$p_1^{q_1} p_2^{q_2} \dots p_7^{q_7} .$$

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- ▶ The first A equations e_1, \dots, e_A are the axioms.
- ▶ The rest e_{A+1}, \dots, e_l are expected to be deductions from previous equations.
- ▶ We require functions which can detect such deductions.

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- ▶ We will construct similar primitive recursive functions τ_2 and τ_3 for the other deduction steps n and *sub*.
- ▶ Then $\tau_1(\omega, i)\tau_2(\omega, i)\tau_3(\omega, i)$ will equal zero if and only if the i th equation of ω is some proper deduction.

Admissible deduction step z

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Substituting zero for the variable x_i in the equation e alters the Gödel number as follows.

$$z(e, i) = \prod_{j=1}^{\text{long}(e)} p_j \left\{ \begin{array}{ll} 1 & \text{if } \text{exp}_j(e) = 2i + 9 \\ \text{exp}_j(e) & \text{else} \end{array} \right\}$$

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$$n(e, i) = \bigotimes_{j=1}^{\text{long}(e)} \left\{ \begin{array}{ll} q & \text{if } \text{exp}_j(e) = 2i + 9 \\ p_1^{\text{exp}_j(e)} & \text{else} \end{array} \right\}$$

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This function is primitive recursive. What is q ?

Useful formulas

If m is the Gödel number of an equation then $\text{eq}(m)$ locates the equality sign.

$$\text{eq}(m) = \mu_j [j \leq \text{long}(m) \wedge \text{exp}_j(m) = 3]$$

Bounded search \implies PR.

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$$\text{eq}(m) = 1 + \sum_{i=1}^{\text{long}(m)} \prod_{j=1}^i \text{sg}(\text{sg}(\text{exp}_j(m) \div 3) + \text{sg}(3 \div \text{exp}_j(m)))$$

Useful formulas

If m is the Gödel number of an equation then $\beta(m)$ denotes the Gödel number of the left-hand side of the equation.

$$\beta(m) = \prod_{j=1}^{\text{eq}(m) \div 1} p_j^{\text{exp}_j(m)}$$

Useful formulas

Then the right-hand side of equation m which we denote by $\gamma(m)$ is the following.

$$\gamma(m) = \prod_{j=1}^{\text{long}(m) - \text{eq}(m)} p_j^{\text{exp}_{j+\text{eq}(m)}(m)}$$

Does a given equation contain some term?

Here we are testing for a copy of $\beta(m)$ in the expression n beginning at location $j + 1$. Denote this predicate $\beta(m, n, j)$.

$$\sum_{i=1}^{\text{long}(\beta(m))} \text{sg}(\text{exp}_{i+j}(n) \div \text{exp}_i \beta(m)) + \text{sg}(\text{exp}_i \beta(m) \div \text{exp}_{i+j}(n))$$

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- ▶ Hence $\beta(m, n, j) = 0$ iff $\text{exp}_i(\beta(m)) = \text{exp}_{i+j}(n)$ for every $1 \leq i \leq \text{long}(\beta(m))$.

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- ▶ Note that $\beta(m, n, j) \neq 0$ if $\text{long}(n) - j < \text{long}(\beta(m))$.

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Admissible deduction step *sub*

Substituting $\gamma(m)$ for $\beta(m)$ in equation n alters it's Godel number as follows.

If $\beta(m, n, j) = 0$ then

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This is a primitive recursive function.

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The natural number ω is the Godel number of a valid deduction if for every $i \leq \text{long}(\omega)$ one of the following is true;

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- ▶ There exists $j < i$ and some k such that $\text{exp}_i(\omega) = n(\text{exp}_j(\omega), k)$.
- ▶ $\exists m, n < i$ and j where $\text{exp}_i(\omega) = \text{sub}(\text{exp}_m(\omega), \text{exp}_n(\omega), j)$.

Valid deduction

Let $\tau_1(\omega, i)$ denote the predicate

$$(\exists j \exists k)[(j < i) \wedge (k \leq \omega) \wedge \text{eq}(\text{exp}_i \omega, z(\text{exp}_j \omega, k))]$$

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Useful formula

The symbol sequence $\sigma_0(0)$ represents the natural number one and in general if $\sigma_0^n(0)$ then $\sigma_0(\sigma_0^n(0))$ represents $n + 1$.

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The Gödel numbers of these expressions have a primitive recursive formula.

$$\zeta(0) = p_1^1 \text{ and } \zeta(n + 1) = p_1^2 p_2^5 * \zeta(n) * p_1^7$$

The valid deduction of $\varphi(a_1, \dots, a_r)$

Let $\tau_4(a_1, \dots, a_r, \omega)$ denote the predicate

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- Where $\Phi(a) := p_1^{2s+9} p_2^5 * \zeta(a_1) * \dots * \zeta(a_r) * p_1^7 p_2^3 * \zeta(a)$

$$\tau_4(a_1, \dots, a_r, \omega) = \prod_{a=0}^{\gamma(\text{exp}_{\text{long}\omega}(\omega))} \text{eq}(\text{exp}_{\text{long}\omega}(\omega), \Phi(a))$$

PR detection of a valid computation

Let $\tau(a_1, \dots, a_r, \omega)$ denote the following formula

$$\sum_{i=1+A}^{\text{long}(\omega)} \tau_1(\omega, i)\tau_2(\omega, i)\tau_3(\omega, i) + \tau_4(a_1, \dots, a_r, \omega)$$

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- ▶ Thus ω is the Gödel number of a valid computation of $\varphi(\vec{a})$ iff $\tau(a_1, \dots, a_r, \omega) = 0$.
- ▶ The function $\mu_\omega[\tau(a_1, \dots, a_r, \omega) = 0]$ denotes the unbounded search for a valid computation of $\varphi(\vec{a})$.

Kleene normal form

Let $\psi(\omega)$ denote the function

$$\mu_j [j < \gamma(\text{exp}_{\text{long}\omega}(\omega)) \wedge \zeta(j) = \gamma(\text{exp}_{\text{long}(\omega)}(\omega))]$$

Since $j < \gamma(\text{exp}_{\text{long}\omega}(\omega))$ the function ψ is primitive recursive and finally we have the normal form below.

$$\varphi = \psi(\mu_\omega [\tau(a_1, \dots, a_r, \omega) = 0])$$

Thus every GR function can be written as above where ψ is a PR function and τ is a PR predicate on which the Kleene operator acts.