

TRI-RESTRICTED NUMBERS AND POWERS OF PERMUTATION REPRESENTATIONS

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ABSTRACT. Let G be a transitive permutation group on a set Q. The orbit decompositions of the actions of G on the sets of ordered n-tuples with elements repeated at most three times are studied. The decompositions involve Stirling numbers and a new class of related numbers, the so-called tri-restricted numbers. The paper presents exponential generating functions for the numbers of orbits, and examines relationships between various powers of the G-set involving Stirling numbers, the tri-restricted numbers, and the coefficients of Bessel polynomials.

1. Introduction

Let G be a finite group. A G-set (Q, G) or permutation representation of the group G consists of a set Q, together with a (right) action of G on Q via a homomorphism

$$(1.1) G \to Q! \; ; \; g \mapsto (q \mapsto qg)$$

from G into the group Q! of all permutations of the set Q. A G-set (Q,G) may be construed as an algebra of unary operations on the set Q. For a positive integer n, the direct power $(Q,G)^n$ of this algebra is the G-set Q^n with diagonal action

$$(1.2) g: (q_1, \ldots, q_n) \mapsto (q_1 g, \ldots, q_n g)$$

of the elements g of G.

The subset $Q^{[n]}$ of Q^n consisting of all n-tuples of distinct elements of Q, equipped with the restriction of the diagonal action of G, is called the n-th $irredundant\ power$ of the G-set (Q,G), and denoted by $(Q,G)^{[n]}$. The subset $Q^{[[n]]}$ of Q^n consisting of all n-tuples in which no element is repeated

more than once, equipped with the restriction of the diagonal action of G, is called the n-th *bi-restricted power* of the G-set (Q, G), and denoted by $(Q, G)^{[[n]]}$.

The exponential generating functions for the numbers of orbits in the various direct powers, irredundant powers and bi-restricted powers are respectively

$$(1.3) \frac{1}{|G|} \sum_{g \in G} e^{t\pi(g)}, \ \frac{1}{|G|} \sum_{g \in G} (1+t)^{\pi(g)} \text{ and } \frac{1}{|G|} \sum_{g \in G} \left(1+t+\frac{t^2}{2!}\right)^{\pi(g)},$$

where $\pi(g)$ is the number of points of Q fixed by an element g of G (5.1[7], Th.6.4 & Th.7.7[3]). The two latter generating functions may be considered as drastic truncations of the exponential generating function for the numbers of orbits in the direct power G-sets, since

(1.4)
$$\frac{1}{|G|} \sum_{g \in G} e^{t\pi(g)} = \frac{1}{|G|} \sum_{g \in G} \left(1 + t + \frac{t^2}{2!} + \frac{t^3}{3!} \dots \right)^{\pi(g)}.$$

In this paper, we consider a slightly less drastic truncation,

(1.5)
$$\frac{1}{|G|} \sum_{g \in G} \left(1 + t + \frac{t^2}{2!} + \frac{t^3}{3!} \right)^{\pi(g)},$$

and define an appropriate G-subset of (Q^n, G) so that (1.5) become the exponential generating function for the number of orbits in it. The numbers $T_1(n, k)$ related to (1.5), the so-called *tri-restricted numbers of the first kind*, are defined in Definition 5.1 and investigated in Section 5.

The G-subset $Q^{[[[n]]]}$ of Q^n consisting of all n-tuples in which no element appears more than three times is called the tri-restricted power G-set $(Q, G)^{[[[n]]]}$. Then orbit decompositions of the tri-restricted powers $(Q, G)^{[[[n]]]}$ are related to the orbit decompositions of the irredundant powers $(Q, G)^{[n]}$ via the tri-restricted numbers of the first kind. The tri-restricted powers are also related to the other powers, the direct powers and the bi-restricted powers, via Stirling numbers and the coefficients in Bessel polynomials.

The tri-restricted number of the second kind $T_2(n,k)$ is defined to be the (n,k)-entry of the inverse of the matrix whose (m,j)-entry for each m,j is the tri-restricted number of the first kind $T_1(m,j)$. This provides an inverse relation between the tri-restricted powers and the irredundant powers. Theorem 5.12 presents (1.5) as the exponential generating function for the numbers of orbits in the tri-restricted power G-sets.

Introductory sections briefly cover Stirling numbers and Bessel polynomials (Section 2), the duality between direct powers and irredundant powers (Section 3), and the bi-restricted powers (Section 4).

2. Stirling numbers and Bessel numbers

For each positive integer n, the product X(X-1)(X-2)...(X-n+1) in the integral polynomial ring $\mathbb{Z}[X]$ over an indeterminate X is denoted by $[X]_n$. Since $\{X^n \mid n \in \mathbb{N}\}$ and $\{[X]_n \mid n \in \mathbb{N}\}$ are free generating sets for $\mathbb{Z}[X]$ as a \mathbb{Z} -module, each can be uniquely expressed as a linear combination of the others.

Definition 2.1. The Stirling numbers of the first kind $S_1(n, k)$ and the Stirling numbers of the second kind $S_2(n, k)$ are given by

(2.1)
$$X^n = \sum_{k=0}^n S_2(n,k)[X]_k$$
 and $[X]_n = \sum_{k=0}^n S_1(n,k)X^k$. \square

Proposition 2.2. (Cf. 3.14 [1].) The Stirling number of the second kind $S_2(n,k)$ is the number of partitions of an n-set into exactly k nonempty subsets.

For each natural number n, the Bessel polynomial $y_n(x)$ is defined to be the (unique) polynomial of degree n with unit constant term

(2.2)
$$y_n(x) = \sum_{k=0}^{n} \frac{(n+k)!}{(n-k)!k!} \left(\frac{x}{2}\right)^k$$

which satisfies the differential equation $x^2y'' + (2x+2)y' = n(n+1)y$ [2, 4, 6]. Then for each positive integer n, the n-th Bessel polynomial may be written in the form

(2.3)
$$P_n(x) = \sum_{k=0}^{\infty} B(n,k) x^{n-k},$$

where the Bessel number B(n, k) is given by

(2.4)
$$B(n,k) =$$
 if $n < k$ then 0 else $\frac{(2n-k)!}{2^{n-k}(k)!(n-k)!}$.

Proposition 2.3. The Bessel numbers satisfy the recursion

(2.5)
$$B(n,k) = (2n-k+1) \cdot B(n-1,k) + B(n-1,k-1)$$

for $n \ge k$.

The combinatorial significance of the Bessel numbers is given by the following.

Theorem 2.4. (Th.7.1 [3]) For an indeterminate X, let

$$f(t) = \left(1 + t + \frac{t^2}{2!}\right)^X.$$

Then

(2.6)
$$f^{(n)}(0) = \sum_{k=1}^{n} B(k, 2k - n)[X]_{k},$$

where $f^{(n)}(0)$ is the *n*-th derivative of f with respect to t at t=0.

Corollary 2.5. (Co.7.2 [3]) For any positive integers n and k, the Bessel number B(n,k) is the number of partitions of a (2n-k)-set of type $1^k 2^{n-k} 3^0 4^0 \dots n^0$.

3. Direct powers and irredundant powers

For a finite group G, let \underline{G} be the variety of G-sets, construed as a category with homomorphisms (G-equivariant maps) as morphisms. For an object Q of \underline{G} , let [Q] denote the isomorphism class of Q in \underline{G} . Let

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 $A^+(G)$ be the set of isomorphism classes of finite G-sets. This set becomes a commutative, unital semiring $(A^+(G), +, \cdot, 0, 1)$ under [P] + [Q] = [P + Q], $[P] \cdot [Q] = [P \times Q]$, $[P] \cdot [Q] = [P \times Q]$, $[P] \cdot [Q] = [P \times Q]$, and $[P] \cdot [Q] = [P \times Q]$, and $[P] \cdot [Q] = [P \times Q]$, the integral Burnside algebra of the group $[P] \cdot [Q] = [P \times Q]$.

For each positive integer n, the *irredundant power* G-set $Q^{[n]}$ is defined to be the complement in the direct power Q^n of the subset consisting of all n-tuples comprising at most n-1 distinct elements of Q (cf. II.1.10 [5]).

The following proposition shows that the irredundant power G-sets $(Q, G)^{[n]}$ are dual to the direct power G-sets $(Q, G)^n$ via the Stirling numbers of the first and second kinds.

Proposition 3.1. (Prop.5.1 [3])

(3.1)
$$[Q^{[n]}] = \sum_{k=1}^{n} S_1(n,k)[Q^k]$$
 and $[Q^n] = \sum_{k=1}^{n} S_2(n,k)[Q^{[k]}]$. \square

For a G-set (Q, G), let $\pi(g)$ be the number of points of Q fixed by an element g of G. By Burnside's Lemma (V.20.4 [5]), the average number of fixed points

$$\frac{1}{|G|} \sum_{g \in G} \pi(g)^n$$

is the number of orbits of G on the n-th direct power Q^n . By Proposition 3.1,

(3.3)
$$\frac{1}{|G|} \sum_{g \in G} [\pi(g)]_n$$

is the number of orbits of G on the n-th irredundant power $Q^{[n]}$ (Lem.6.3 [3]). Recall that the exponential generating function for a sequence $(a_n)_{n=0}^{\infty}$ is $\sum_{n=0}^{\infty} a_n \frac{t^n}{n!}$.

Theorem 3.2. (5.1, Th.6.4 [7]) The exponential generating functions for the numbers of orbits on the direct power G-sets $(Q, G)^n$ and the irredundant power G-sets $(Q, G)^{[n]}$ are respectively

(3.4)
$$\frac{1}{|G|} \sum_{g \in G} (e^t)^{\pi(g)} \quad \text{and} \quad \frac{1}{|G|} \sum_{g \in G} (1+t)^{\pi(g)},$$

where $\pi(g)$ is the number of points of Q fixed by an element g of G.

4. BI-RESTRICTED POWERS

Consider the *n*-th direct power Q^n as the set of functions to Q from the n-set $\{1, 2, 3, ..., n\}$. Then the n-th irredundant power $Q^{[n]}$ is the subset consisting of injective functions from the n-set into Q. For each positive integer n, the n-th bi-restricted power set of the set Q is defined to be

$$(4.1) \ Q^{[[n]]} = \{ f : \{1, 2, 3, \dots, n\} \to Q \mid \forall q \in Q, \ |f^{-1}\{q\}| \le 2 \} \ .$$

Thus $Q^{[[n]]}$ is an intermediate set, included in Q^n and including $Q^{[n]}$. For a G-set (Q, G), the restriction of the direct power action of G on Q^n to $Q^{[[n]]}$ is called the n-th bi-restricted power of (Q, G), and denoted by $(Q, G)^{[[n]]}$.

The following proposition shows how the Bessel numbers yield a dual relation between the bi-restricted powers and the irredundant powers.

Proposition 4.1. (Props.7.5, 6 [3])

1.
$$[Q^{[[n]]}] = \sum_{k=1}^{n} B(k, 2k - n)[Q^{[k]}].$$

2.
$$[Q^{[n]}] = \sum_{k=1}^{n} (-1)^{n-k} B(n-1, k-1) [Q^{[[k]]}].$$

Bringing in the Stirling numbers, one can obtain a dual relation between the bi-restricted powers and the direct powers.

Proposition 4.2. (Rmk.7.8 [3])

1.
$$[Q^{[[n]]}] = \sum_{k=1}^{n} (\sum_{m=k}^{n} B(m, 2m - n) \cdot S_1(m, k)) [Q^k].$$

2.
$$[Q^n] = \sum_{k=1}^n \left(\sum_{m=k}^n (-1)^{m-k} \cdot S_2(n,m) \cdot B(m-1,k-1) \right) [Q^{[[k]]}].$$

The following theorem shows that the exponential generating function for the number of orbits on the bi-restricted powers is an intermediate function between the exponential generating functions in (3.4).

Theorem 4.3. (Th.7.7 [3]) The exponential generating function for the number of orbits on the bi-restricted powers $(Q, G)^{[[n]]}$ is

(4.2)
$$f(t) = \frac{1}{|G|} \sum_{g \in G} \left(1 + t + \frac{t^2}{2!} \right)^{\pi(g)},$$

where $\pi(g)$ is the number of points of Q fixed by an element g of G. \square

5. Tri-restricted numbers and powers

By analogy with Theorem 4.3, we now want to build a new G-subset of \mathbb{Q}^n such that the truncation

(5.1)
$$\frac{1}{|G|} \sum_{g} (1 + t + \frac{t^2}{2!} + \frac{t^3}{3!})^{\pi(g)}$$

of $e^{t\pi(g)}$ is the exponential generating function for the number of orbits in the G-subset. The first task is to introduce the coefficients that will play the role of the Bessel numbers in Proposition 4.1.

Definition 5.1. For an indeterminate X, let

$$f(t) = \left(1 + t + \frac{t^2}{2!} + \frac{t^3}{3!}\right)^X$$
.

The tri-restricted numbers of the first kind $T_1(n,k)$ are defined by

(5.2)
$$f^{(n)}(0) = \sum_{k=1}^{n} T_1(n,k)[X]_k,$$

where $f^{(n)}(0)$ is the *n*-th derivative of f with respect to t at t=0.

An explicit form for the tri-restricted numbers of the first kind is given by the following proposition. **Proposition 5.2.** For any positive integers n and k, $T_1(n,k) =$

$$\begin{array}{ll} \text{if} & \lceil \frac{n}{3} \rceil \leq k \leq n \\ \\ \text{then} & \sum_{t=t_1}^{\lfloor \frac{3k-n}{2} \rfloor} \frac{n!}{(2!)^{3k-n-2t}(3!)^{n-2k+t}t!(3k-n-2t)!(n-2k+t)!} \\ \\ \text{else} & 0. \end{array}$$

where $\lfloor x \rfloor$ is the greatest integer less than or equal to x, $\lceil x \rceil$ is the least integer greater than or equal to x, and

$$t_1 =$$
 if $\lceil \frac{n}{2} \rceil \le k \le n$ then $2k - n$ else 0

Proof. Let $g(t) = 1 + t + \frac{t^2}{2!} + \frac{t^3}{3!}$. Then $f(t) = (g(t))^X$, and the terms of the *n*-th derivative $f^{(n)}(t)$ of f(t) have the form

$$(5.3) [X]_k(g(t))^{X-k}(g'(t))^t(g''(t))^{3k-n-2t}(g^{(3)}(t))^{n-2k+t}$$

for all non-negative integers t, 3k-n-2t and n-2k+t. For all non-negative integers $\lambda_1, \lambda_2, \ldots \lambda_n$ such that $\lambda_1 + \lambda_2 + \cdots + \lambda_n = k$ and $\lambda_1 + 2\lambda_2 + \cdots + n\lambda_n = n$, the number of k-partitions of an n-set of type $1^{\lambda_1}2^{\lambda_2}\ldots n^{\lambda_n}$ is

(5.4)
$$\frac{n!}{(1!)^{\lambda_1}(2!)^{\lambda_2}\dots(n!)^{\lambda_n}(\lambda_1)!(\lambda_2)!\dots(\lambda_n)!}$$

Since t + (3k - n - 2t) + (n - 2k + t) = k and t + 2(3k - n - 2t) + 3(n - 2k + t) = n, there are

(5.5)
$$\frac{n!}{(1!)^t(2!)^{3k-n-2t}(3!)^{n-2k+t}t!(3k-n-2t)!(n-2k+t)!}$$
many terms $[X]_k(g(t))^{X-k}(g'(t))^t(g''(t))^{3k-n-2t}(g^{(3)}(t))^{n-2k+t}$ in $f^{(n)}(t)$.
Since $g(0) = g'(0) = g''(0) = g^{(3)}(0) = 1$, one obtains the expression (5.6)

$$\sum_{k=1}^{n} \left(\sum_{\substack{t \ge 0 \\ 3k-n-2t \ge 0 \\ n-2k+t \ge 0}} \frac{n!}{(1!)^t (2!)^{3k-n-2t} (3!)^{n-2k+t} t! (3k-n-2t)! (n-2k+t)!} \right) [X]_k$$

for $f^{(n)}(0)$. It is clear that $T_1(n,k) = 0$ for all k > n, since $f^{(n)}(t)$ cannot have any term containing $[X]_k$ for any k > n. If $k < \lceil \frac{n}{3} \rceil$, one has 3k < n

and $3k - n - 2t < n - n - 2t \le -2t$, which violates the constraints $t \ge 0$ and $3k - n - 2t \ge 0$. Therefore $T_1(n, k) = 0$ for all $k < \lceil \frac{n}{3} \rceil$. Solving $n - 2k + t \ge 0$ and $3k - n - 2t \ge 0$ for t, one obtains

$$t \ge 2k - n$$
 and $t \le \frac{3k - n}{2}$.

Together with $t \geq 0$ and the fact that t is an integer, this gives

$$(5.7) max\{0, 2k-n\} \le t \le \lfloor \frac{3k-n}{2} \rfloor.$$

If $\lceil \frac{n}{3} \rceil \le k < \lceil \frac{n}{2} \rceil$, one has 2k < n, and if $\lfloor \frac{n}{2} \rfloor \le k \le n$, one has $n \le 2k$. Then

$$(5.8) max\{0,2k-n\} = \begin{cases} 0 & \text{if } \lceil \frac{n}{3} \rceil \le k < \lceil \frac{n}{2} \rceil \\ 2k-n & \text{if } \lfloor \frac{n}{2} \rfloor \le k \le n. \end{cases}$$

The required form for $T_1(n,k)$ is furnished by (5.6), (5.7) and (5.8).

As an immediate corollary, one obtains the following combinatorial interpretation of the tri-restricted numbers of the first kind.

Corollary 5.3. For each positive integer n, $T_1(n,k)$ is the total number of k-partitions of an n-set of type $1^t 2^{3k-n-2t} 3^{n-2k+t} 4^0 \dots n^0$.

The following table shows the first few tri-restricted numbers of the first kind. The empty cells are to be filled with 0's.

8	7	6	5	4	3	2	1 = k	$\overline{T_1(n,k)}$
						1	1	n = 1
i				4	1	3	1	3
			1	$\frac{1}{10}$	$\frac{6}{25}$	7 10		$rac{4}{5}$
		1	15	65	75	10		6
1	1	21	140	315	175			7
1	28	266	980	1225	280			8

The tri-restricted numbers of the first kind

By analogy with (4.1), one may now define the desired subset of Q^n .

Definition 5.4. The n-th tri-restricted power of a set Q is

$$(5.9) Q^{[[[n]]]} = \{ f : \{1, 2, 3, \dots, n\} \to Q \mid \forall q \in Q, |f^{-1}\{q\}| \le 3 \}.$$

For a G-set (Q, G), the restriction of the diagonal action of G on Q^n to $Q^{[[[n]]]}$ is called the n-th tri-restricted power of (Q, G), and denoted by $(Q, G)^{[[[n]]]}$.

Lemma 5.5.
$$Q^{[n]} \subseteq Q^{[[n]]} \subseteq Q^{[[n]]} \subseteq Q^n$$
.

Tri-restricted powers are related to irredundant powers via the tri-restricted numbers of the first kind.

Theorem 5.6.

(5.10)
$$[Q^{[[[n]]]}] = \sum_{k=1}^{n} T_1(n,k)[Q^{[k]}].$$

Proof. Let $A_k^n=\{f\in Q^n\mid k=|\mathrm{Im}(f)|\ \mathrm{and}\ \forall\, q\in Q,\ |f^{-1}(q)|\leq 3\}$ and $Q_k^n=\{f\in Q^n\mid k=|\mathrm{Im}(f)|\}$. Then $A_k^n=Q_k^n\cap Q^{[[[n]]]}$, and $Q^{[[[n]]]}$ is the disjoint union of the A_k^n . For any partition π of the n-set $\{1,2,3,\ldots,n\}$ of type $1^t2^{3k-n-2t}3^{n-2k+t}4^0\ldots n^0$, let $Q_\pi=\{f\in Q^n\mid \pi=\ker(f)\}$. Then Q_π is in A_k^n , and is G-isomorphic to $Q^{[k]}$. Since there are $T_1(n,k)$ partitions of an n-set of the type $1^t2^{3k-n-2t}3^{n-2k+t}4^0\ldots n^0$, the G-set A_k^n is G-isomorphic to $T_1(n,k)$ copies of $Q^{[k]}$. Therefore

(5.11)
$$Q^{[[[n]]]} = \bigcup A_k^n \cong \bigcup T_1(n,k)Q^{[k]}.$$

Considering the isomorphism classes from (5.11), one obtains (5.10).

By Proposition 3.1 and Proposition 4.1(2) taken together with Theorem 5.6, the tri-restricted powers can be expressed in terms of the direct powers or the bi-restricted powers as follows;

Corollary 5.7.

1.
$$[Q^{[[[n]]]}] = \sum_{k=1}^{n} (\sum_{m=k}^{n} T_1(n,m) \cdot S_1(m,k)) [Q^k]$$

2.
$$[Q^{[[[n]]]}] = \sum_{k=1}^{n} (\sum_{m=k}^{n} (-1)^{m-k} T_1(n,m) \cdot B(m-1,k-1)) [Q^{[[k]]}] \square$$

Now consider the matrix T_1 whose (n, k)-th entry is $T_1(n, k)$ for each n, k. Since T_1 is a lower triangular matrix whose diagonal elements are all 1, we can consider the inverse matrix of T_1 .

Definition 5.8. The tri-restricted number of the second kind $T_2(n,k)$ is defined to be the (n,k)-entry of the inverse matrix of T_1 .

The following table shows the first few tri-restricted numbers of the second kind. The empty cells are to be filled with 0's.

8	7	6	5	4	3	2	1 = k	$T_2(n,k)$
						1	1	n = 1
				-	1	-3	-1 2	3
			1	-10	-6 35	$\frac{11}{-45}$	-5 10	$rac{4}{5}$
	1	$1 \\ -21$	$-15 \\ 175$	85 -700	$-210 \\ 1225$	$175 \\ -315$	$35 \\ -910$	6 7
1	-28	322	-1890	5565	-5670	-6265	11935	8

The tri-restricted numbers of the second kind

Remark 5.9. Unlike the Stirling numbers of the second kind, the tri-restricted numbers of the second kind $T_2(n, k)$ do not take alternating signs. The sum of the last three numbers in each row of the above table becomes 0, i.e. for all positive integers $n \leq 8$, one has

$$(5.12) T_2(n,3) + T_2(n,2) + T_2(n,1) = 0.$$

In fact, the relationship (5.12) holds for all positive integers, since $T_1(1,1) = T_1(2,1) = T_1(3,1) = 1$. This might be the reason for the non-alternating signs of $T_2(n,k)$, but to be sure one would need to find a formula or a combinatorial interpretation for the $T_2(n,k)$.

One may now provide an inverse to the formula of Theorem 5.6 as follows.

Proposition 5.10.

(5.13)
$$[Q^{[n]}] = \sum_{k=1}^{n} T_2(n,k)[Q^{[[[k]]]}]. \quad \Box$$

Applying Proposition 3.1 and Proposition 5.10 with Proposition 4.1(1), the direct powers and the bi-restricted powers can be expressed in terms of the tri-restricted powers as follows.

Corollary 5.11.

1.
$$[Q^n] = \sum_{k=1}^n \left(\sum_{m=k}^n S_2(n,m) \cdot T_2(m,k) \right) [Q^{[[[k]]]}]$$

2. $[Q^{[[n]]}] = \sum_{k=1}^n \left(\sum_{m=k}^n B(m,2m-n) \cdot T_2(m,k) \right) [Q^{[[[k]]]}]$

Finally, we conclude that (5.1) generates the numbers of orbits in the tri-restricted powers of a G-set (Q, G) with permutation character π .

Theorem 5.12. The exponential generating function for the number of orbits on the n-th tri-restricted power G-set $(Q,G)^{[[[n]]]}$ is

(5.14)
$$f(t) = \frac{1}{|G|} \sum_{g \in G} \left(1 + t + \frac{t^2}{2!} + \frac{t^3}{3!} \right)^{\pi(g)} ,$$

where $\pi(g)$ is the number of points of Q fixed by an element g of G.

Proof. By Definition 5.1, the *n*-th derivative of f with respect to t at t=0 is

(5.15)
$$f^{(n)}(0) = \frac{1}{|G|} \sum_{g \in G} \left(\sum_{k=1}^{n} T_1(n,k) [\pi(g)]_k \right)$$
$$= \sum_{k=1}^{n} T_1(n,k) \left(\frac{1}{|G|} \sum_{g \in G} [\pi(g)]_k \right).$$

By (3.3) and Theorem 5.6, it is easy to see that $f^{(n)}(0)$ is the number of orbits of G on the n-th tri-restricted power $Q^{[[[n]]]}$.

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